It’s 7:45 on a Wednesday morning in May at Hartsfield-Jackson Atlanta International Airport and passengers are boarding Delta Air Lines flight 334 to Mexico City. One by one the passengers scan their boarding passes and approach a camera that’s set up on a jetway where they have their pictures taken before they board the flight.

The photos are being matched through biometric facial recognition technology to photos that were previously taken of the passengers for their passports, visas, or other government documentation. All is moving smoothly until the U.S. Customs and Border Protection officers assisting the passengers are alerted that they need to check one of the travelers.

It’s a 28-year old woman, a Mexican national with a Mexican passport. The biometric system alerted the officers because when preflight information was gathered on the woman, no historical photos to match against her could be found.

A CBP officer took the woman aside and looked at her passport. No visa was attached and the woman didn’t have a green card to prove she was a lawful permanent resident. Upon further questioning, the woman admitted that four years ago, she had come into the country illegally.

Using a specially designed, CBP biometric mobile device, the officer took fingerprints of the woman’s two index fingers. “This was the first time that we had captured this individual’s biometrics, her unique physical traits,” said Bianca Frazier, a CBP enforcement officer at the Atlanta Airport. “We didn’t have her biometrics because we had never encountered her before.”

As early as 2002, shortly after the worst terrorist attack in U.S. history, legislation was passed requiring the Department of State and the Department of Homeland Security to use biometric technology to issue visas and screen non-U.S. citizens entering the U.S. Then in 2004, more legislation was passed, authorizing DHS to collect biometric data from non-U.S. citizens exiting the country.

According to Frazier, finding people who have entered the country illegally is common. Since June 2016, when CBP and Delta Air Lines launched a pilot program to test CBP’s biometric facial recognition exit technology, passengers like the young Mexican woman have been found daily. “She was typical of the people who have entered without inspection,” said Frazier. “Most days we find a minimum of two or three undocumented people, but sometimes we find as many as eight to 10 boarding a flight.”

Ultimately, the woman was allowed to board the flight, but when Frazier used CBP’s mobile device to take her fingerprints, it created a fingerprint identification number that is specifically tied to the woman. In the future, if she applies for a visa to return to the U.S. or is encountered crossing the border illegally, an alert will be triggered, indicating that the woman had previously entered the U.S. illegally and is on a lookout list. Additionally, when Frazier processed the traveler, the device automatically created a biometric exit record confirming that the woman left the country.

By 2013, when CBP assumed responsibility for verifying travelers entering and exiting the country since the mid-1990s, using travelers’ biographic

One of CBP’s innovations is the Biometric Exit Mobile, a handheld, mobile device that allows officers on the jetway to run travelers’ fingerprints through law enforcement databases as it transfers are exiting the U.S. Photo by Rob Bristey
to learn more about the biometric technology that the department’s research and development arm, Wagner and his team took a fresh start. They reached to find it because it didn’t exist,” he said. The technology that is going to make this work and Wagner. The challenges seemed insurmountable. “We don’t have specific departure areas to any of this because they thought it would cost money and it would slow people down,” said Wagner. “When travelers come off of an international flight, they are funneled through a secure pathway to the CBP inspection area. The airline transmits the biographic data to us. We verify that information when we read a traveler’s passport and we make sure it’s accurate. That’s when we also collect fingerprints from most non-U.S. citizens.”

With outbound flights, collecting passengers’ biometrics is much more difficult. “We’ve never had a process,” said Wagner. “We don’t have specific departure areas for outbound flights. International flights depart from all of the airport, so it was difficult to figure out where we could collect biometrics and what technology we would use.”

Added to that, CBP lacked support. “The travel industry stakeholders were vehemently opposed to any of this because they thought it would cost money and it would slow people down,” said Wagner. The challenges seemed insurmountable. “We were focused on where is the magic technology that is going to make this work and address all of these concerns. No one had been able to find it because it didn’t exist,” he said.

New beginning

Wagner and his team took a fresh start. They reached out to the DHS Science and Technology Directorate, the department’s research and development arm, to learn more about the biometric technology that was available and which methods of collection would work best. Shortly thereafter, in 2014, a demonstration test lab was set up in Landover, Maryland. “One of the things we learned from previous pilots in airports is that airports are chaotic places. It’s hard to do a really good controlled test when anything can go wrong and you don’t know why. Was it because there were lots of delays? Were there weather incidents? Or did people miss their flights? Any number of factors could affect the performance of the biometric system, so we set up a test space where we could carefully control different variables to see how well our biometric concepts worked,” said Arun Vemury, director of the DHS Science and Technology Directorate’s Apex Air Entry/Exit Re-engineering and Port of Entry People Screening programs.

“We evaluated more than 150 different biometric devices and algorithms. We put them together in different configurations and then brought in test volunteers to actually run through the process to figure out how long it took, what kind of throughput we were able to get, how well the biometrics matched, and what their performance ultimately was,” said Vemury. “Over time, we brought in more than 2,000 people from 53 different countries of origin, who varied in age from 18-85. We were trying to mimic the demographics of travelers coming to the U.S.”

One of the things that Vemury learned was that the algorithms used in facial recognition technology have become much more advanced. The algorithm is the formula that identifies the unique biometric features in a finger, iris, or face and then compares those points to corresponding areas in previously collected biometrics. “Because of the improvements in facial recognition technology, we can verify people’s identities with facial recognition much more effectively today than we could even just two years ago,” said Vemury.

After nearly two years of rigorous testing and evaluation, DHS Science and Technology gave its findings to CBP in December 2015. “We turned over all of our test reports, economic analyses, quantitative analyses, concepts of operation, and staffing estimates,” said Vemury. “The last thing we wanted was to have any unanswered questions. We knew we needed a biometric process that would work.”

Field testing

Concurrently, CBP was doing its own laboratory tests and conducted a series of pilots. “We ran several pilots to help us learn about the different types of biometric technology in the different environments where we work,” said Wagner. For example, CBP was aware that U.S. passports were vulnerable to fraud and thought a biometric tool could help. After months of testing algorithms and cameras, CBP developed a one-to-one facial recognition technology that compared travelers against their passport photos. The pilot, which was tested on inbound flights, initially ran for two months, from March to May 2015, at Dulles International Airport in Dulles, Virginia. At that point, more lab testing and analysis were done to improve the algorithm, and then a second pilot, which continues today, was set-up at Dulles and John F. Kennedy International Airport in New York City.

“The pilots showed us that the facial recognition technology was accurate,” said Wagner. “We grew confident that the algorithms were good enough to use and rely on.”

One of the many examples that illustrates this occurred at JFK in May 2016, when a traveler with a U.S. passport arrived on a flight from Accra, Ghana, and presented herself as a returning U.S. citizen. All of her biographical information was processed successfully, but the CBP officer who interviewed the woman had a suspicion she might be an imposter. The officer referred the traveler to a booth equipped with the facial recognition technology where her photo was taken and compared to the photo in her passport. The match score was very low and she was referred for further inspection.

The woman was fingerprinted and the officers confirmed her true identity, uncovering that she was an imposter. In actuality, the woman was a Liberian citizen who had been denied a diversity visa from a green card lottery in 2015. She admitted that she found the U.S. passport in a marketplace and didn’t know the true owner. The woman was then turned over to U.S. Immigration and Customs Enforcement.
so they reached out to a colleague. “I pulled up the deported by an immigration judge, but they didn’t occurred with the man. Both had been ordered seconds, she came back as a watchlist hit. The same took the index prints of the woman first and within device to take the couple’s fingerprints. The officers check, the officers used the Biometric Exit Mobile passports. The biographical information didn’t didn’t find any U.S. visas or country entry stamps, so Berlin, Germany. When the couple presented their national couple who were boarding a flight to O’Hare International Airport involving a Polish Mobile has produced stunning results. Case in As a law enforcement tool, the Biometric Exit handheld, mobile device that allowed officers to run fingerprints on departing travelers. “We tested the Biometric Exit Mobile in 2015 at 10 airports around the country,” said Wagner. “It showed us we could accurately take fingerprints from a mobile device and gave our officers the capability to do law enforcement and biometric queries on a smart phone if they saw that an individual requires further investigation.”

Biometric success story

As a law enforcement tool, the Biometric Exit Mobile has produced stunning results. Case in point is an incident that occurred in May at Chicago O’Hare International Airport involving a Polish national couple who were boarding a flight to Berlin, Germany. When the couple presented their passports at the departure gate, the CBP officers didn’t find any U.S. visas or country entry stamps, so they decided to run a check and swipe the couple’s passports. The biographical information didn’t reveal anything derogatory, but as a precautionary check, the officers used the Biometric Exit Mobile device to take the couple’s fingerprints. The officers took the index prints of the woman first and within seconds, she came back as a watchlist hit. The same occurred with the man. Both had been ordered deported by an immigration judge, but they didn’t leave the country. The officers wanted to clarify what they discovered, so they reached out to a colleague. “I pulled up the

woman’s name and nothing came up. There was no record on her whatsoever,” said Jonathan Cichy, a CBP enforcement officer who works outbound operations at O’Hare Airport. “However, when I checked her fingerprints, there was a hit, but for a woman with a different date of birth and a different identity, which she had been arrested and deported under.” Then Cichy looked at the manifest for the flight. “I saw they weren’t on it. There was no record of the identities they were using to get on the plane,” he said. After checking further, Cichy found that both of the Polish nationals had criminal histories with multiple identities. “But none that came up in our systems because they weren’t leaving under any of those identities. Biographies alone did not tell us the full story,” said Cichy, who quickly rushed to meet the flight that was leaving in 20 minutes.

The couple was allowed to board the flight, but not until Cichy had served them with legal papers to verify their departure and close out the deportation case. “If either one of them is found attempting to return to the U.S. without permission, they could be prosecuted for reentry after deportation, a felony that carries a sentence of two to 20 years,” said Cichy.

Decisive moment

CBP’s biometric exit tests culminated in June 2016 with a pilot program at the Atlanta Airport. Wagner and his team had a breakthrough. All the work they had done for the past several years was finally coming to fruition. “We came up with a way of taking the information we receive about passengers from the airlines and matching it against information we already have in our government databases,” said Cichy.

Based on their research, Wagner and his team decided to use facial recognition technology. “We found that facial recognition was intuitive for people. Everybody knows how to stand in front of a camera and have his or her picture taken. Not so with iris scans and fingerprints. Every time a traveler

does the process wrong, someone has to instruct him or her the right way to do it,” said Wagner.

Aside from being quicker than other biometric methods, facial recognition has additional pluses. The physical design of the camera doesn’t take up much space, and the equipment isn’t costly. Furthermore, CBP already has a collection of photos for biometric comparison. “People have already provided their photographs to the government for travel purposes,” said Wagner.

But the real feat was when CBP found a way to speed up the photo matching process. “As soon as a passenger checks in with the airline, the airline tells us who is getting on the plane. At that point, we find all the photographs we have of the people on the flight and we pool them, and then segment them into individual photo galleries for each passenger,” said Wagner. “If there are 300 people on the flight, we find every photograph we have of those 300 people. Generally, that means we will have about 1,500 pictures because we have multiple photos of each passenger.”

Then, as the passenger boards the flight, he or she has his or her picture taken. That photo is compared to his or her individual gallery of photos rather than comparing it to a billion photos that are in DHS’s biometric database. “The matching is done in real-time because it’s a small file and it’s accurate,” said Wagner.

The Atlanta pilot also was designed with certain parameters. “We did not want to add another layer onto the travel process,” said Wagner. “We told our stakeholders, ‘We want to design something that fits within your existing operations and infrastructure. ‘We’re trying to make things easier for travelers. We don’t want to add additional steps or processes.’”

Strong partnership

In a discussion with Delta Airlines, Wagner asked if the airline would be interested in participating in a biometric pilot. “We have a very strong, long-standing, collaborative relationship with CBP,” said Jason Hausner, Delta Air Lines’ director of passenger facilitation. “Normally, when they approach us to do something, we’re in. We like to be in on the front end to provide our expertise and help shape things.”

Delta also had a long range vision of using biometrics for its own operational purposes. “When we heard the proposal from CBP to test biometric exit technology, it resonated with us because one
of the elements we were looking at is biometric boarding,” said Hausner.

In February 2016, Delta met with CBP to develop a project plan and decided to test a flight from Atlanta to Tokyo, Japan. The pilot, which began in June, was successful, so by September, CBP decided to test another flight. This time the flight was to Mexico City. “As a further test to the technology, we chose a flight with a different demographic to ensure the matching capability was still successful,” said Kevin Pfeifer, CBP’s assistant port director of tactical operations at the port of Atlanta.

After more than a year of testing, the facial recognition technology has consistently shown a high rate of accuracy. “Our percent of successful matches is in the high 90s. It’s even moved up a notch in terms of quality and accuracy,” said Nael Samha, CBP’s director of passenger systems who built the architecture for the pilot’s operating system.

Operationally, the pilot has performed well too. “One of the things we wanted to evaluate was the impact on our operations. Would it delay boarding? Would it impact our on-time performance? We’re very metrics oriented,” said Hausner. “So far, this test has not impacted us in any manner, and part of it is because of the approach that CBP has taken. They know that in order for their program to be successful, they need to partner with us.”

### Industry innovations

During the summer of 2017, CBP conducted technical demonstrations of the biometric exit facial recognition technology with various airlines and airports throughout the country. “We wanted to show stakeholders and the public what this technology is, how it works, and explore how biometric exit technology can fit into airline and airport business models and modernization plans while addressing privacy requirements,” said Wagner.

Some airlines are already making headway. At JFK and in Atlanta, Delta is testing ways to combine the facial recognition technology with its boarding pass procedures. “The CBP pilot is a two-step process by design, but it seemed to us that when this is implemented across the country, it should be a one-step process,” said Hausner.

In June, JetBlue Airways transformed this goal into a reality and was the first airline to board passengers using biometric facial recognition instead of boarding passes. Unlike the technical demonstrations that CBP was conducting with other carriers, JetBlue proposed the pilot. The airline wanted to design its own technology and incorporate it with CBP’s facial recognition matching system. "CBP was very open-minded with what we wanted to accomplish,” said Liliana Petrova, JetBlue Airways’ director of customer experience. “They flew out to Boston and spent several hours with us and took the time to listen to our ideas.”

We wanted them to know exactly how we wanted to integrate the biometric technology with the experience at our gate.”

The pilot, which was tested at Logan International Airport in Boston, was assembled very quickly. “CBP gave it priority and helped us do a very fast buildout,” said Petrova. “Not many partnerships, even private partnerships, function as smoothly.”

According to Petrova, the biometric system is part of JetBlue’s strategy to remove the hassle from the traveling experience. “Passengers don’t have to stop, look for their boarding passes or their IDs. The line moves faster and they don’t have to wait as long,” she said. “We’re trying to take the anxiety out of flying and allow our crew members to interact more with customers.”

JetBlue’s customer feedback was positive. “The customers are really delighted by it. They think it’s cool and they’re having fun,” said Petrova. As a result, JetBlue has decided to expand the pilot in late 2017 with additional flights departing from Boston and JFK.

CBP’s future vision for biometric exit is to build the technology nationwide using cloud computing. “There are hundreds of airports throughout the U.S. where we provide services for international travelers and we still need to work through the deployment schedule and timeline,” said Wagner. “We also need to determine the technology we’ll use. We’ve been working with airports and airlines to arrive at some of those answers. We want them to tell us what the equipment should look like, so that it fits in with their operational needs.”

Plans are also underway to update CBP’s biometric inbound technology. “We’ll be using the same system for our arrivals processing as we do for biometric exit,” Wagner explained.

But that’s not all that CBP has in store. “We’re also looking at communicating with people on their mobile devices as they deplane,” said Wagner. “If we can give travelers better guidance on how to navigate customs and the maze at the airport, we can increase efficiency and give them peace of mind.”

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JetBlue was the first airline to incorporate its own biometric technology with CBP’s facial recognition matching system to verify passengers exiting the U.S. A pilot program using the technology was launched in May 2017 at Logan International Airport in Boston. Photo by Zack Caplan

During the summer of 2017, CBP conducted biometric exit facial recognition technical demonstrations with various airlines and airports throughout the country. Here, CBP Officer Michael Shamma answers a London-bound American Airlines passenger’s questions at Chicago O’Hare International Airport. Photo by Brian Bell
An extraordinary example of how biometric exit technology is enhancing CBP’s enforcement capabilities happened in April at Chicago O’Hare International Airport. A 38-year-old, Indian national, Dipakkumar Patel, presented an emergency Indian passport to board a flight to Abu Dhabi, United Arab Emirates, where he was making a connection to India.

While inspecting the passport, the CBP officer at the departure gate didn’t find a U.S. visa and the pages of the passport were blank. There wasn’t a U.S. entry stamp. When questioned, Patel told the officer that he had entered the country illegally through Mexico six years earlier. The officer decided to call CBP’s Passenger Analysis Unit and asked them to run the man’s name through the law enforcement databases to check if he was on a watch list.

A name came back with 22 aliases, and Patel’s name was one of them. But it was a common Indian name and the match wasn’t conclusive. So the officer decided to do a biometric check and called his colleague to come to the jet bridge to take Patel’s fingerprints. Using CBP’s Biometric Exit Mobile device, a handheld, biometric tool, the officer swiped Patel’s passport and took prints of his two index fingers. “All of our systems were queried and within seconds it came back that he was a biometric match,” said Jonathan Cichy, a CBP enforcement officer who works outbound operations at O’Hare Airport.

“He came into the country as a Portuguese national using one identity and was leaving the U.S. as an Indian national using another,” said Cichy. “The Portuguese passport was legally issued to him, but he had obtained it fraudulently.”

And there was more. When Patel’s name was matched to one of the aliases, an alert was sent to CBP’s National Targeting Center, the Department of Homeland Security’s Office of Inspector General, and Homeland Security Investigations. “Patel was linked to a call center scheme where U.S. citizens had been defrauded out of hundreds of millions of dollars in unpaid taxes,” said Cichy. All three authorities requested that CBP detain Patel and stop him from getting on the flight.

Patel was turned over to U.S. Immigration and Customs Enforcement and was placed in a local holding facility. He remained there until investigators from the DHS Office of Inspector General and HSI arrived to interview him. Patel was arrested on charges of passport fraud and, in May, was indicted by a grand jury in Atlanta, where he was taken to await his trial. In 2012, Patel had entered the U.S. through Atlanta, using the fraudulently obtained Portuguese passport.

In August, Patel pleaded guilty to a slew of crimes. In addition to false use of a passport, he plead guilty to a conspiracy charge for his role in a multimillion-dollar, India-based call center scam that targeted U.S. victims. According to his plea, Patel and his co-conspirators perpetrated a complex scheme in which individuals from call centers located in Ahmedabad, India, impersonated officials from the IRS and U.S. Citizenship and Immigration Services to defraud victims throughout the U.S. The victims were threatened with arrest, imprisonment, fines, or deportation if they did not pay the money they allegedly owed the government. Victims who agreed to pay the scammers were instructed to provide payment using prepaid credit cards or wiring money. Upon payment, the call centers would immediately turn to a network of “runners” based in the U.S. to liquidate and launder the fraudulently-obtained funds. Patel served as a runner.

“Without the use of biometrics, Patel would have been allowed to depart the U.S. and return to his home country. He would not have been linked to any of the fraud that he committed against the U.S. and our citizens,” said Cichy. “Biometrics are a critical tool in law enforcement. They reveal a person’s true identity and help us protect America.”
By Marcy Mason

At the same time that CBP was focusing on biometrics, the agency was developing technology that would expedite the processing of travelers and reduce wait times in airports. Air travel was growing, and by all indications, that trend would continue. According to the International Air Transport Association’s latest projections, air travelers will double over the next 20 years.

In 2007, when CBP introduced Global Entry, it was an innovative concept because it was directed at low-risk travelers. “Global Entry was designed to give low-risk, frequent travelers the ability to use technology to expedite their arrival process,” said Dan Tanciar, CBP’s deputy executive director of planning, program analysis, and evaluation for entry/exit transformation. “The program allowed us to identify low-risk travelers, so that we could focus our attention on the travelers we don’t know much about.”

A few years later, in 2012, CBP launched another innovation—a self-service kiosk that helped speed up the traveler inspection process. The kiosks, known as Automated Passport Control, performed the administrative steps that CBP officers had traditionally handled, so that officers could focus more on inspections. The kiosks also enabled CBP to do away with paper forms, allowing travelers to submit their declaration and biographic information electronically. “Within two years, we were able to deploy about 1,500 kiosks at all of the top airports throughout the U.S. and we reduced wait times by about 30 to 35 percent,” said Tanciar. “The Automated Passport Control kiosks shortened the amount of time travelers spent with CBP officers from 3 minutes to 30 to 60 seconds.”

Automated Passport Control kiosks, another CBP innovation, speed up the traveler inspection process by performing administrative steps CBP officers previously handled. At the Miami International Airport, shown above, the self-service kiosks were initially installed as a way to process travelers faster during the 2014 FIFA World Cup. The technology shortens the time inbound travelers spend with CBP officers from 3 minutes to 30 to 60 seconds. Photo by Manuel Garcia

Economic impact

With CBP’s staffing limitations, the success of the technology was paramount. Not just for CBP, but for its air industry partners too. “Airports are economic generators for their communities, so if you reduce the capacity of the airport, in effect, you’re reducing the economic capabilities of the airport for its community,” said Matthew Cornelius, vice president of air policy for Airports Council International-North America, a trade organization that represents airports in North America.

In 2013, when the Automated Passport Control kiosks were starting to appear at U.S. airports, Airports Council International saw the value of the technology and wanted to expand it. “We were approached by one of our associate member companies, Airside Mobile, a tech firm, that had a concept to create the same functionality of the kiosks, but to do it on a smartphone,” said Cornelius. In other words, international travelers could fill out the required customs information on their smartphones before they ever got off the plane. “We saw it as an opportunity to alleviate some of the problems our members were having at their international arrival facilities. We knew that mobile applications and mobile technology are really the wave of the future.”

Cornelius took the concept to CBP. “We told CBP, ‘We have this idea. We think it’s going to be helpful. Will you work with us on it?’ To CBP’s credit, they saw it made sense, that it was going to help us do our jobs better and alleviate the problem of processing travelers into the U.S.,” said Cornelius.

CBP and Airports Council International began piloting the Mobile Passport Control app in August 2014. A year later, the pilot expanded to five airports. Today, 24 airports and one cruise port use the app and it has been downloaded more than 2.4 million times.

“It’s a great example of partnership. We worked very closely with CBP,” said Cornelius. “Everybody was on board, understood what needed to be done, and it all came together perfectly.”

Faster processing

The technology was also critical for the airlines. “In early 2014, we knew the World Cup was being played in Brazil that year, so that meant there would be a lot of travel through Miami,” said Howard Kass, American Airlines’ vice president of regulatory affairs. “We knew that the processing times and the facilitation in Miami weren’t what we wanted them to be. It wasn’t a good customer experience,” he said.

“The lines were long. There were multi-hour waits, and we felt the brunt of it because when travelers landed, they couldn’t move through customs, so they disconnected on their flights,” said Kass. “We then had to figure out how to get them to their destinations or put them up in a hotel. We spent lots of money to ameliorate the misconnections. Miami was getting a bad reputation among travelers, which is something we don’t want to see at any of our hubs.”

The airline thought CBP’s technology might be the answer. “We knew from what we’d seen in other airports that the machines would be a tremendous benefit in Miami to help expedite people through the process,” said Kass. So American Airlines worked with CBP and the Miami International Airport to get more Global Entry and Automated Passport Control machines in place. “We more than doubled the number of machines and we did a lot of marketing, advertising, and inflight announcements to encourage passengers to use the technology, so they could be processed quickly through the CBP facility,” said Kass.

And it worked. “We got to a point where every U.S. citizen was using some kind of automation,” he said. “CBP pledged a lot of resources to make sure that flights were processed smoothly during the World Cup. It was important to the United States that there wasn’t a rough spot in Miami with all the traffic moving through.” Moreover, said Kass, “There weren’t any meltdowns or passengers stranded for hours and hours in the terminal and we made some improvements that really helped travelers move through the process more quickly.”

Michael Kass, American Airlines' vice president of regulatory affairs.